#### JC20 Rec'd PCT/PTO PATENUN 2005

MAT-8706US

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No:

To Be Assigned

Applicant:

Kunihiko NAKAMURA

Filed:

Herewith

Title:

MECHANICAL RESONATOR

TC/A.U.:

To Be Assigned

Examiner:

To Be Assigned To Be Assigned

Confirmation No.: Docket No.:

MAT-8706US

#### INFORMATION DISCLOSURE STATEMENT

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of the references listed on the 1449 form is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following references are not in the English language:

1) JP 2002-535865 A

- 2) JP 10-312734 A
- 3) JP 2001-502247 A
- 4) JP 2000-311572 A
- 5) JP 2000-348593 A
- 6) JP 2000-030595 A
- 7) JP 2001-308677 A
- 8) JP 2001-320100 A

References 2) and 4) - 8) include English language abstracts.

Reference 1) includes an English language abstract from WO 00/42705.

Reference 3) includes an English language abstract from WO 97/29538.

References 1) - 5) were cited in a search report by a foreign Patent Office in a patent application corresponding to the above-identified patent application. A copy of this search report, which indicates the degree of relevance found by the foreign office, is transmitted herewith. MPEP Section 609 IIIA(3), second paragraph.

## JC20 Rec'd PCT/PTO 1 3 JULY 2005

**MAT-8706US** 

This Information Disclosure Statement is being filed concurrently with the above-

referenced application.

Respectfully submitted

Lawrence E. Ashery, Reg. No. 34,515

Attorney for Applicant

LEA/ds

Enclosures: PTO Form 1449

(10) References

International Search Report

Dated: June 13, 2005

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June 13, 2005

I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Kathleen Libb

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### JC20 Rec'd PCT/PTO 1 3 JUN 2005

(AW 08/03)

Substitute for Form 1449A/PTO	Complete if Known		
	Application Number	To Be Assigned	
INFORMATION DISCLOSURE	Filing Date	Herewith	
STATEMENT BY APPLICANT	First Named Inventor	Kunihiko Nakamura	
(Use as many sheets as necessary)	Art Unit	To Be Assigned	
	Examiner Name	To Be Assigned	
SHEET 1 of 2	Attorney Docket No.	MAT-8706US	

			U.S. PATENT DO	CUMENTS	
-		Document Number		No. of Bahartee an	Pages, Columns, Lines, Where
Examiner Initials*	Cite No.1	Number - Kind Code <sup>2 (if known)</sup>	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
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		FOREIGN PA	TENT DOCUMEN	TS		
Examiner Initials*	Cite	Foreign Patent Document  Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5 (If known)</sup>	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
		JP 2002-535865 A (with English Abstract from WO 00/42705)	10-22-2002	THE REGENTS OF THE UNIVERSITY OF MICHIGAN		
	<u> </u>	JP 10-312734 A (with English Abstract)	11-24-1998	NEC CORP		
		JP 2001-502247 A (with English Abstract from WO 97/29538)	02-20-2001	FRAUNHOFER- GESELLSCHAFT ZUR FORDERUNG DER ANGEWANDTEN FORSCHUNG EINGETRAGENER VEREIN		
		JP 2000-311572 A (with English Abstract)	11-07-2000	OMRON CORP.		
		JP 2000-348593 A (with English Abstract)	12-15-2000	OMRON CORP.		
		JP 2000-030595 A (with English Abstract)	01-28-2000	NOKIA MOBILE PHONES LTD		
		JP 2001-308677 A (with English Abstract)	11-02-2001	ROBERT BOSCH GMBH		
		JP 2001-320100 A (with English Abstract)	11-16-2001	NGK INSULATORS LTD		

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See Kind Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.

Senter Office that issued the document, by the two-letter code (WIPO Standard St.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

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The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for Form 1449B/PTO		10/538319
	Application Number	201770727
INFORMATION DISCLOSURE	Filing Date	To Be Assigned
STATEMENT BY APPLICANT	First Named Inventor	Kunihiko Nakamura
(Use as many sheets as necessary)	Art Unit	To Be Assigned
	Examiner Name	To Be Assigned
SHEET 2 of 2	Attorney Docket No.	MAT-8706US

		NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²
		STIFFNESS-COMPENSATED TEMPERATURE-INSENSITIVE MICROMECHANICAL RESONATORS, Wan- Thai Hsu and Clark TC. Nguyen, Micro Electro Mechanical Systems, 2002, The Fifteenth IEEE International Conference on, 20-24, Jan. 2002, pp. 731-734	
		HIGH-Q HF MICROELECTROMECHANICAL FILTERS, Frank D. Bannon, III, John R. Clark, and Clark TC. Nguyen, IEEE Journal of Solid-State Circuits, Vol. 35. No. 4, April, 2000, pp. 512-526	
		International Search Report for application no. PCT/JP2004/004091 dated June 22, 2004	
Evamina	<u> </u>	Date	
Examiner	I	Date	

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